
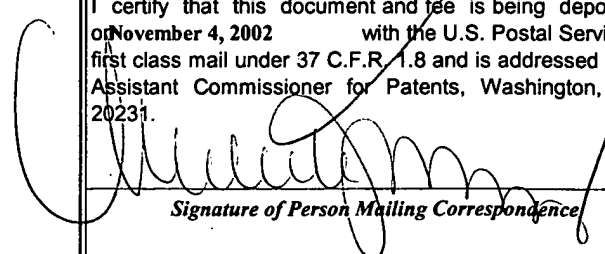


1632

AMENDMENT TRANSMITTAL LETTER (Small Entity)				Docket No. 10981AZ	
Applicant(s): Peter A. Koopman, et al.					
Serial No. 09/910,087		Filing Date NOV 07 2002		Examiner D. Nguyen	
				Group Art Unit 1632	
Invention: SOX2 GENE AND PROTEIN AND USE IN THE REGENERATION OF BONE OR CARTILAGE					
RECEIVED NOV 08 2002					
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>					
TECH CENTER 1600/2900					
Transmitted herewith is an amendment in the above-identified application.					
<input checked="" type="checkbox"/> Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.					
<input type="checkbox"/> A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.					
The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	12 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	6 -	6 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00
<input checked="" type="checkbox"/> No additional fee is required for amendment.					
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed.					
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-3886/RCT A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.					
<input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
 Signature			Dated: November 4, 2002		
Edward W. Grolz Registration No. 33,705 Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343					
<div style="border: 1px solid black; padding: 5px;"><div> Signature of Person Mailing Correspondence</div><div>Michelle Mustafa Typed or Printed Name of Person Mailing Correspondence</div></div>					
cc:					



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Peter A. Koopman, et al.

Examiner: D. Nguyen

RECEIVED

Serial No.: 09/910,087

Art Unit: 1632

NOV 08 2002

Filed: July 20, 2001

Docket: 10981AZ

TECH CENTER 1600/2900

For: SOX-9 GENE AND PROTEIN AND
USE IN THE REGENERATION OF
BONE OR CARTILAGE

Dated: November 4, 2002

#5

Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE UNDER 37 C.F.R. §§ 1.111 and 1.143

Sir:

In response to the Office Action dated October 2, 2002, setting forth a requirement for restriction and in accordance with the provisions of 37 C.F.R. §§ 1.111 and 1.143, Applicants provisionally elect, with traverse, Group II, Claims 8 and 14-16, drawn to a gene therapy method by employing SEQ ID NO: 20 or homologous sequences having at least 79% sequence identity to SEQ ID NO: 20.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on November 4, 2002.

Dated: November 4, 2002


Michelle Mustafa